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Practitioner's Docket No. 2002DE314

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Brand et al.

Application No.: 10/692,927

Group No.: 1626

Filed: 10/24/2003

For: Preparation of 1,3-DI-Halo-Substituted Benzene Derivatives

Commissioner for Patent  
P.O. Box 1450  
Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

CERTIFICATION UNDER 37 C.F.R. Sections 1.8(a) and 1.10\*  
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Dear Sir:

In accordance with the duty of disclosure provisions of 37 CFR §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application. No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT International Search Report, if submitted herewith).

1. ☒ Enclosures accompanying this Information Disclosure Statement are:
  - 1a. ☒ Form PTO-1449.
  - 1b. ☒ Copies of Information Disclosure Statement citations.
  - 1c. ☐ PCT Search Report.
  - 1d. ☐ Copies of Co-pending U.S. Applications.
2. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(b) with the filing of the U.S. patent application. Accordingly, no fee or §1.97(e) Statement is required.
3. ☒ This Information Disclosure Statement is filed under 37 CFR §1.97(b) before the latter of three months after the U.S. patent application filing date or the first Office Action on the merits. Accordingly, no fee or §1.97(e) Statement is required.
4. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(c) after the first Office Action on the merits, but before a Final Office Action or a Notice of Allowance. The \$180.00 fee set forth in 37 CFR §1.17(p) in accordance with 37 CFR §1.97(c) is to be charged to Deposit Account No. 03-2060.
5. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(e) in that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. Accordingly, no fee is required.
6. ☐ This Information Disclosure Statement is filed in conjunction with a Request For Continued Examination. Accordingly, no fee or §1.97(e) Statement is required.

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7. ☐ The English language documents identified by reference in the TRANSLATION Section of the foreign patents list of the attached 1449 Form are believed to be a concise statement, or the equivalent or substantial equivalent to the foreign language documents cited in the attached 1449 Form.
8. ☐ In addition to the documents cited, the Office's attention is directed to the following co-pending U.S. Application Serial Number(s), copies of which are attached for the convenience of the Office:

Respectfully submitted,

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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (37 CFR 1.98(b))	ATTY. DOCKET NO. 2002DE314	SERIAL NO. 10/692,927
APPLICANT: Brand et al.		
FILING DATE: 10/24/03		GROUP: 1626

## U.S. PATENT DOCUMENTS

Examiner Initial		Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						

## FOREIGN PATENT OR PUBLISHED FOREIGN APPLICATION

		DOCUMENT NUMBER	PUB. DATE	COUNTRY			TRANSLATION
	BA						
	BB						
	BC						

## OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication\*\*)

CA		English translation of G. Lock, "Über die Abspaltung der Aldehydgruppe als Ameisensäure aus Aromatischen Aldehyden, III. Mitteil Gemischt-halogenhaltige und Halogen-nitrobenzaldehyde," Berichte Der Deutschen Chemischen Gesellschaft, Bd. 68, pp 1505-1511 (1935)
CB		English translation of G. Lock, "Zur Kenntnis der Cannizzaroschen Reaktion, "Monatshefte Der Chemie" Bd. 55, pp 307-315 (1930)
CC		English translation of G. Lock, "Über einige Halogenderivate des Meta-oxybenzaldehydes," Monatshefte Der Chemie Bd. 67, pp. 320-327 (1936)
CD		English translation of G. Lock, "Über die Abspaltung der Aldehydgruppe Aus aromatischen aldehyden, I. Mitteil Polychlorbenzaldehyde," Berichte der Deutschen Chemischen Gesellschaft, Bd. 66, pp. 1527-1531 (1933)
CE		English translation of G. Lock, "Über die Abspaltung der Aldehydgruppe als Ameisensäure aus aromatischen Aldehyden, IV. Mitteil: 2-chlor-6-fluor-und 2, 6-Difluor-benzaldehyd," Berichte der Deutschen Chemischen Gesellschaft, Bd. 69, pp. 2253-2258 (1936)
CF		
EXAMINER		DATE CONSIDERED
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		

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